

Infection Prevention and Control Audit

Infection control audit for a care home or clinic: management, cleanliness, hand hygiene, protective equipment, sharps and waste, laundry, equipment and outbreaks.

1. Details

Where you audited and who leads on infection control.

Project

Filled in from the project in SiteSurvey.

Provider

Home or service *

Address

Date of audit *

Auditor

Areas audited

Infection prevention and control lead

In England, the code of practice under the Health and Social Care Act 2008 sets ten criteria that registered providers of health and adult social care must be able to show they meet, and the Care Quality Commission uses it when judging compliance. It expects evidence of a rolling programme of audit. This form is one way to record that audit: it does not list every requirement of the code.

2. Management and training

Systems, policies and people.

There is an up to date infection prevention and control policy that staff can find (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

A named lead is responsible for infection prevention and control (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Staff have had infection control training in the last year (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

There is a programme of audits, and actions from the last one are complete (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Staff know not to work with symptoms of infection, and when they can return (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Residents and visitors are given information about infections when it is needed (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Notes

3. Environment and cleaning

Walk the building.

Rooms, corridors and communal areas are visibly clean and free of clutter (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Cleaning schedules are in place and signed (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Colour-coded cloths and mops are used and stored correctly (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Bathrooms and toilets are clean, with soap and towels (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Furniture and floor coverings are intact and can be wiped clean (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Kitchenettes and fridges are clean, with food covered and dated (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Notes

4. Hand hygiene and protective equipment

What staff have and what they do.

Alcohol handrub is available where care is given (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Handwash sinks have liquid soap, paper towels and a foot-operated bin (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Staff are bare below the elbows when giving care (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Gloves, aprons and masks are available near where they are needed (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Protective equipment is changed between residents and between tasks (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Used protective equipment is disposed of correctly (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Notes

5. Sharps, waste and laundry

Where infection leaves the room.

Sharps bins are assembled correctly, labelled, dated and not filled above the line (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Sharps bins are out of reach of residents and visitors (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Waste is separated into the correct bags and bins (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

The waste store is locked and clean (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Clean and used linen are kept and moved separately (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Soiled linen goes into the correct bags and is not sorted by hand (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

The laundry has a flow from dirty to clean (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Notes

6. Equipment and outbreaks

Shared equipment, and readiness for an outbreak.

Commodes, bedpans and hoists are clean, including underneath (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Mattresses and cushions are intact and checked (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Single-use items are not reused (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Shared equipment is cleaned between residents (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Staff know what to do, and who to tell, if an outbreak is suspected (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

A resident with an infection can be cared for in their own room with their own equipment (choose one)

☐ Yes ☐ Partly ☐ No ☐ Not applicable

Notes

7. Findings and actions

One entry for each thing to put right.

Are there findings to record?

☐ Yes ☐ No

Findings (copy this block for each one; if applicable)**Area *** (choose one)

- ☐ Management and training
☐ Environment and cleaning
☐ Hand hygiene
☐ Protective equipment
☐ Sharps and waste
☐ Laundry
☐ Equipment
☐ Outbreak readiness
☐ Other: _____

Risk (choose one)

☐ High ☐ Medium ☐ Low

What did you find? *

Action

Who

By when

Photos (photos: attach, or note the file names)

Do not photograph residents or anything that identifies them.

Findings (if applicable; worked out automatically in the app)**High risk** (if applicable; worked out automatically in the app)**Overall *** (choose one)

☐ Good: minor points only ☐ Needs improvement ☐ Serious concerns: act now

Summary

Next audit due

Auditor name

Auditor signature

Manager name

Manager signature